



02/28/97

PATENT

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: MARSHALL, et al. GROUP ART NO:  
SERIAL NO: 08/739,264 EXAMINER:  
FILED: October 29, 1996  
TITLE: METHODS AND COMPOSITIONS FOR MODULATING  
IMMUNE SYSTEMS OF ANIMALS

## TRANSMITTAL LETTER

To the Commissioner of Patents and Trademarks  
Washington, D.C. 20231

Dear Sir:

In response to the Notice to File Missing Parts of Application with Filing Date Granted, enclosed please find the following documents:

1. A copy of the Notice to File Missing Parts of Application;
2. Copy of Postcard and Check (for filing fee of \$836.00) Dated October 29, 1996;
3. Verified Statement Claiming Small Entity Status (Small Business Concern)
4. Declaration Not Accompanying Application by the inventors William E. Marshall and Michael K. Hoffman;
5. Check for \$65.00 surcharge fee (small entity).

## =====

## CERTIFICATE OF MAILING (37 C.F.R. § 1.8(a))

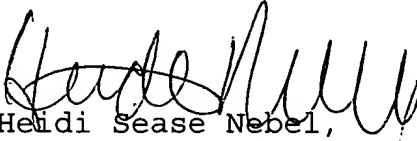
I hereby certify that this Transmittal Declaration Not Accompanying Application is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Box Missing Part, Assistant Commissioner for Patents, Washington, D.C. 20231.

2/24/97  
Date

Heidi Sease Nebel

If any additional fees are needed, please charge  
Deposit Account No. 26-0084.

Respectfully submitted,

A handwritten signature in dark ink, appearing to read "Heidi Sease Nebel", written over the printed name.

Heidi Sease Nebel,

Reg. No. 37,719

ZARLEY, McKEE, THOMTE, VOORHEES  
& SEASE

ATTORNEYS OF RECORD

801 Grand - Suite 3200  
Des Moines, Iowa 50309-2721  
515-288-3667 - pw



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office

Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
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08/739,264 10/29/96 MARSHALL

W

0212/0109

ZARLEY MCKEE THOMTE  
VOORHEES & SEASE  
SUITE 3200 801 GRAND AVENUE  
DES MOINES IA 50309-2721

DATE MAILED: 0000

01/09/97

**NOTICE TO FILE MISSING PARTS OF APPLICATION**  
**Filing Date Granted**

An Application Number and Filing Date have been assigned to this application. However, the items indicated below are missing. The required items and fees identified below must be timely submitted **ALONG WITH THE PAYMENT OF A SURCHARGE** for items 1 and 3-6 only of \$ 130- for a ☒ large entity ☐ small entity in compliance with 37 CFR 1.27. The surcharge is set forth in 37 CFR 1.16(e). Applicant is given **TWO MONTHS FROM THE DATE OF THIS NOTICE** within which to file all required items and pay any fees required above to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

**If all required items on this form are filed within the period set above, the total amount owed by applicant as a**  
☒ large entity ☐ small entity (verified statement filed), is \$ 960-.

☐ 1. The statutory basic filing fee is:

- ☐ missing.
- ☐ insufficient.

Applicant must submit \$ \_\_\_\_\_ to complete the basic filing fee and/or file a verified small entity statement claiming such status (37 CFR 1.27).

☐ 2. Additional claim fees of \$ \_\_\_\_\_, including any multiple dependent claim fees, are required.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

☒ 3. The oath or declaration:

- ☒ is missing.
- ☐ does not cover the newly submitted items.
- ☐ does not identify the application to which it applies.
- ☐ does not include the city and state or foreign country of applicant's residence.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

☐ 4. The signature(s) to the oath or declaration is/are:

- ☐ missing.
- ☐ by a person other than inventor or person qualified under 37 CFR 1.42, 1.43, or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

☐ 6. A \$ \_\_\_\_\_ processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

☐ 8. The application does not comply with the Sequence Rules.

See attached "Notice to Comply with Sequence Rules 37 CFR 1.821-1.825."

☐ 9. OTHER:

Direct the response and any questions about this notice to "Attention: Box Missing Parts."

**A copy of this notice MUST be returned with the response.**

Dian Sellers

Customer Service Center

Initial Patent Examination Division (703) 308-1202

BEST AVAILABLE COPY